

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Attny. Docket No. 98B014/5
In Re Application of: James A. Kendrick			
U.S. Serial No. Not Yet Assigned	Filing Date December 18, 2001	Examiner Not Yet Assigned	Group Art Unit Not Yet Assigned

JC503 U.S. PTO
 10/023677
 12/18/01

Address to:
 Assistant Commissioner for Patents
 Washington, D.C. 20231

#1/2

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

This application is a: Divisional of U.S.S.N. 09/654,799. A copy of the cited reference BR is enclosed. Copies of the remaining references are available in the parent application(s).

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1715. A duplicate copy of this form is enclosed.

12/18/01
(Date)



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CERTIFICATE UNDER 37 C.F.R. §1.8(a)

I hereby certify that I have a reasonable basis to expect that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope with sufficient postage affixed and addressed to Commissioner of Patents and Trademarks, Washington, DC. 20231, on December 18, 2001.



Frank E. Reid

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

98B014/5

SERIAL NO.

Not Yet Assigned

APPLICANT

James A. Kendrick

FILING DATE

December 18, 2001

GROUP

Not Yet Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2,825,721	03/04/58	Hogan et al.	260	88.1	JCS03 U.S. PTO 10/023677 12/18/01
	AB	2,915,513	12/01/59	Leatherman et al.	260	94.9	
	AC	3,152,872	10/13/64	Scoggin	34	15	
	AD	3,293,000	12/20/66	Marwil	23	285	
	AE	3,324,093	06/06/67	Alleman	260	88.2	
	AF	3,625,658	12/07/71	Closon	23	285	
	AG	3,816,383	06/11/74	Stotko	260	94.9 F	
	AH	3,858,943	01/07/75	Bose et al.	302	26	
	AI	3,956,061	05/11/76	Young et al.			
	AJ	4,007,321	02/08/77	Scholz et al.	526	64	
	AK	4,121,029	10/17/78	Irvin et al.	526	64	
	AL	4,199,546	04/22/80	Kirch			
	AM	4,372,758	02/08/83	Bobst et al.	55	48	
	AN	4,395,523	07/26/83	Kirch	526	64	
	AO	4,424,341	01/03/84	Hanson et al.	528	501	
	AP	4,461,889	07/24/84	Hanson	528	502	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AQ	EP0415427	03/06/91	Europe	C08F	2/14		
	AR	EP0891990	01/20/99	Europe	C08F	10/00		

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

AS	"Continuous Slurry Polymerization Volatile Removal", J. A. Kendrick et al., filed November 6, 2001 (98B014B)
AT	"Continuous Slurry Polymerization Volatile Removal", J. A. Kendrick et al., filed November 6, 2001 (98B014C)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant

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U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	BA	4,501,885	02/26/85	Sherk et al.	528	501		
	BB	4,589,957	05/20/86	Sherk et al.	203	75		
	BC	4,613,484	09/23/86	Ayres et al.	422	132		
	BD	4,632,976	12/30/86	Asanuma et al.	528	497		
	BE	4,690,804	09/01/87	Rohlfing	422	219		
	BF	4,737,280	04/12/88	Hanson	210	181		
	BG	4,794,151	12/27/88	Mueller-Mall et al.				
	BH	5,183,866	02/02/93	Hottovy	526	88		
	BI	5,207,929	05/04/93	Sung et al.				
	BJ	5,292,863	03/08/94	Wang	528	483		
	BK	5,391,656	02/21/95	Campbell et al.	526	68		
	BL	5,455,314	10/03/95	Burns et al.	526	61		
	BM	5,565,175	10/15/96	Hottovy et al.	422	132		
	BN	5,575,979	11/19/96	Hanson	422	132		
	BO	5,597,892	01/28/97	Hanson	528	501		
	BP	6,204,344	03/20/01	Kendrick et al.	526	64		
	BQ	6,281,300	08/28/01	Kendrick	526	88		
	BR	6,319,917	11/20/01	Kendrick, et al.	526	64		
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BS	EP0648697	04/19/95	Europe	B65G	53/66		
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)								
	BT	Michael Arne, SRI International, High Density Polyethylene, Supplement D, A private report by the Process Economics Program, May 1989.						
	BU	J. P. Hogan et al., "Phillips Petroleum Company Loop Reactor Polyethylene Technology", Journal of Applied Polymer Science: Applied Polymer Symposium, 36, 49-60 (1981).						
	BV	"Total Quality Report", Vol. 13, No. 1 (Jan. / Feb. 1998), p. 4, Baton Rouge, LA.						
EXAMINER					DATE CONSIDERED			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant.								